

**IMPLEMENTATION PROCEDURES FOR APO IN-COUNTRY
DEVELOPMENT OF DEMONSTRATION COMPANIES (DMP) PROJECT ON
CATALYZING PRODUCTIVITY THROUGH INNOVATION MANAGEMENT AND RESEARCH
COMMERCIALIZATION IN STATE UNIVERSITIES AND COLLEGES**

About the DMP

The DMP Program aims to develop model companies/organizations that showcase good practices of productivity improvement with the support of resource persons and NPOs and the commitment of the target companies/organizations. Through capacity building on practical productivity knowledge and dissemination of the results, the program demonstrates good practices for other companies/organizations to embark on similar improvements.

An APO Demonstration Company is defined as a model company/organization established through APO DMP projects to showcase good practices of productivity improvement for companies/organizations in the implementing member and other APO economies to embark on similar improvements.

1. Scope

The project aims to assist the Department of Science and Technology, MIMAROPA region (DOST-MIMAROPA) and Development Academy of the Philippines (DAP) in establishing model organizations for enhancing productivity through innovation management and research commercialization in state universities and colleges (SUCs).

2. Methodology

- a. DMP projects are conducted through collaboration with a team of individual or institutional resource persons from outside the recipient country. Interested parties should submit proposals to the APO Secretariat by the deadline.
- b. The project is carried out through gap assessment and analysis of technology innovation and commercialization at the demonstration organizations; development of research innovation and commercialization strategies; capacity building and mentorship on innovation management, intellectual property (IP) protection, business modeling, patenting, and technology transfer; pilot commercialization of promising technologies; and dissemination and other relevant activities on the deliverables and methodology agreed on by the APO Secretariat, DOST-MIMAROPA, and DAP. The activities may be conducted in any modality deemed most feasible and appropriate, with priority given to face-to-face arrangements.
- c. The deliverables will be completed by the agreed deadline. Improvements may be required until acceptance by the APO Secretariat, DAP-MIMAROPA, DAP, and demonstration organizations comprising Occidental Mindoro State College, Palawan State University, and Western Philippines University.

3. Resource Persons

Tasks

- a. Preparing and proposing project methodology, approach, scope, etc. through close consultation with the APO Secretariat, DAP, DOST-MIMAROPA, and demonstration organizations.
- b. Undertaking all necessary duties to achieve the intended output(s) as per the agreed arrangement.
- c. Monitoring and evaluating the performance and progress of the productivity enhancement activities of the demonstration organizations.
- d. Assisting the DAP and DOST-MIMAROPA in developing dissemination materials, organizing dissemination events, etc. as per the agreed methodology and project timeline.

- e. Submitting the report to the APO Secretariat by the deadline.
- f. Assisting the demonstration organizations in acting as role models.
- g. Preparing a publication documenting the productivity journey of the demonstration organizations.

Qualifications

- a. Eligibility
 - i. Individuals: Authorized to contract; able to commit the required level of effort (LOE) and travel; and no conflict of interest with participating SUCs.
 - ii. Institutions/consortia: Legally registered; nominate a lead resource person/expert and project manager; provide backstopping/QA and named specialists (IP/TT, incubation/acceleration, market validation, sector experts).
 - iii. Applicants should not come from or reside in the Philippines.
- b. Educational Background
 - i. Minimum of a bachelor's degree in business administration, economics, engineering, intellectual property management, innovation management, or related fields.
 - ii. Advanced degrees (Master's or PhD) in technology innovation, commercialization, intellectual property and technology transfer, or R&D management will be considered an advantage.
- c. Professional Experience
 - i. Lead expert: ≥10 years in research commercialization/technology transfer/innovation management within HEIs or public R&D organizations.
 - ii. Demonstrated delivery of at least three of the following in the last five years:
 - executed IP licenses/options or know-how/technology adoption agreements;
 - university spinoffs/startups enabled to product-market fit or seed/series funding;
 - cohort-based incubation/acceleration programs run with HEIs; or
 - institution-level innovation/technology transfer (TT) capacity-building programs (policy/process/tools).
 - iii. Proven support to SUCs/HEIs/public R&D on research productivity, IP protection, and TT, evidenced by references/outputs.
- d. Technical Expertise
 - i. IP strategy across the life cycle: disclosure, assessment, protection, commercial readiness level (CRL) or technological readiness level (TRL) progression, valuation, licensing, and revenue sharing.
 - ii. University innovation systems: commercialization pathways, TTO operations, incubation/acceleration design, industry-academia collaboration, open innovation.
 - iii. Business modeling and market validation (problem/solution fit, customer discovery, early unit economics), and startup/venture-building in HEI contexts.
 - iv. Mentoring faculty/researchers/technology managers; competence in adult learning and applied coaching.
 - v. Preferred: Experience with productivity improvement toolkits (lean/kaizen/DMAIC) integrated with innovation pipelines.
- e. Program Delivery and Project Management
 - i. Design/delivery of cohort-based training, mentoring, and in-company/site-based demonstration interventions.
 - ii. Managing multistakeholder projects (academia-industry-government), with change management in academic settings.
 - iii. Monitoring and evaluation: ability to set baselines and track commercialization/productivity KPIs (e.g., disclosures, IP filings, deals, startup survival, time-to-license, R&D to revenue lag).
- f. Communication and Interpersonal Skills

- i. Excellent verbal and written communication skills, with the ability to convey technical information in a clear, concise manner.
- ii. Strong interpersonal skills to engage effectively with various stakeholders.
- iii. Proven ability to work in cross-cultural settings and adapt communication styles accordingly.

Notes:

The detailed scope of work is provided in the Request for Proposals (RFP), which can be found at the following link: <https://www.apo-tokyo.org/procurement-notice/>.

The selection of the participating individual or institutional resource person(s) will be made by the APO Secretariat based on its selection criteria. The APO Secretariat will in no case be responsible or liable for any costs involved in proposal submission, regardless of the conduct or outcome of the selection process.

4. Duration

The maximum project duration is six months for stage 1, which may be followed, if necessary, by stage 2 for three months, with both implemented in the virtual and/or face-to-face modality. Stage 2 should start with a gap of up to six months after completion of stage 1.

5. Implementation

Based on the approved proposal and consultation with the applying member economy, a project implementation plan (PIP) will be developed as the main reference for the project. The project may be conducted in two stages, and the APO Secretariat will issue a certificate of completion to the demonstration organizations after each stage.

Stage 1. Implementation (up to six months)

- a. The resource person(s) conducts a gap assessment and analysis of technology innovation and commercialization at the demonstration organizations.
- b. The resource person(s) proposes an improvement plan for each demonstration organization and obtains a consensus on a mutually agreeable action plan and number, modality, and duration of consultations.
- c. The resource person(s) assists the demonstration organizations in implementing the approved improvement plan, such as development of research innovation and commercialization strategies; capacity building and mentorship on innovation management, IP protection, business modeling, patenting, and technology transfer; and pilot commercialization of promising technologies.
- d. If financial support from the APO is required to implement the improvement plans, a letter of commitment or contract signed by the demonstration organizations, DOST-MIMAROPA, and DAP to ensure proper use of the funds to achieve the intended objectives will be issued.
- e. The resource person(s), DAP, DOST-MIMAROPA, and APO Secretariat communicate to review the diagnosis and progress and recommend ways to resolve problems or administrative bottlenecks faced.
- f. The resource person(s), DAP, DOST-MIMAROPA, and APO Secretariat review and evaluate the results of the project to determine whether the objectives have been achieved.
- g. The DAP and DOST-MIMAROPA take the lead in planning, preparing, and conducting dissemination activities, ensuring multiplier effects of the project in the Philippines. The results and process of the project are disseminated through a locally organized event(s) and materials derived from the project, such as the final report, practical manual, and a multimedia record in a local language (if possible, with an English version or English translation).

- h. The DAP and DOST-MIMAROPA submit the final project outputs to the APO Secretariat in consultation with the demonstration organizations before the completion of this stage.
- i. A report is written by the resource person(s) and uploaded to the APO website as a learning tool to replicate the success of the project.

Stage 2. Follow-up (up to three months, implemented six months after completion of stage 1)

- a. The resource person(s) provides advice for follow-up implementation activities with emphasis on strengthening results/successes and widening dissemination.
- b. The DAP and DOST-MIMAROPA submit an annual report to the APO Secretariat in consultation with the demonstration organizations before the completion of this stage.
- c. Stage 2 can be implemented up to two years after the completion of stage 1.

6. Roles and Responsibilities

Implementing organization(s): DAP and DOST-MIMAROPA

- a. Identify the most appropriate organization(s) as a venue for demonstration projects.
- b. Assign a local team to be fully involved in the project and trained as local subject-matter experts.
- c. Coordinate the overall schedule for implementation of the project.
- d. Provide, in addition to a coordinator(s), local resource persons to work as counterparts to the overseas resource person(s), if necessary.
- e. Arrange all logistic requirements relating to the project (e.g., local transportation, meeting room, interpretation, etc.) for the resource person(s).
- f. Guide and assist the demonstration organizations in planning, coordinating, implementing, monitoring, and documenting project activities in consultation with the resource person(s) and APO Secretariat and monitor the process of implementation, particularly the key performance areas.
- g. Coordinate and supervise the preparation of dissemination materials, such as a practical manual and multimedia record.
- h. Conduct a dissemination event(s) such as a workshop, seminar, and industrial visit(s).
- i. Within one month after completion of stage 1, submit the final project outputs, including a final report to the APO Secretariat documenting the entire process of the project, analyzing the results and impact as well as contributions to productivity improvement, and suggesting recommendations for replication in the member utilizing domestic talent, networks, and resources.
- j. Within one month after completion of stage 2, submit the annual project report to the APO Secretariat.

Demonstration organizations: Occidental Mindoro State College, Palawan State University, and Western Philippines University

- a. Assign a team and coordinator to work on the project.
- b. Accept and facilitate the training and consultancy services conducted by the resource person(s).

- c. Provide all necessary inputs and information required for the project and extend all necessary local support to the resource person(s), DAP, DOST-MIMAROPA, and APO Secretariat.
- d. Implement suggestions and recommendations of the resource person(s) based on feasibility and document the results and outcomes of the improvement plans.
- e. Collaborate with the DAP and DOST-MIMAROPA in preparing dissemination materials.
- f. Present the project activities and results during a dissemination event(s) for the benefit of other organization(s).
- g. Collaborate with the DAP and DOST-MIMAROPA in preparing a final report for submission to the APO Secretariat.
- h. Announce, publicize, and prominently display the APO's presence and involvement in the demonstration organizations throughout the duration of the project.
- i. Showcase good practices of productivity improvement for other organizations in the Philippines and other APO members to embark on similar improvements.
- j. Provide information required by the resource person(s) for publication documenting the productivity journey of the demonstration organizations.

APO Secretariat

- a. Assign a resource person(s) on productivity tools and techniques and, if necessary, a technical resource person with expertise in the topic of the project.
- b. Coordinate communication among the resource person(s), DAP, DOST-MIMAROPA, and demonstration organizations to ensure smooth implementation of the project.
- c. Monitor the progress of project implementation in consultation with the resource person(s), DAP, and DOST-MIMAROPA.
- d. Advise the DAP, DOST-MIMAROPA, and demonstration organizations in planning and organizing follow-up activities such as developing dissemination materials and/or organizing a dissemination event(s) for local participants.

7. Final Project Outputs

Stage 1 of the demonstration company project will be completed with submission of the following:

- a. To be submitted or completed by the resource person(s): A report on productivity improvement of the demonstration organizations meeting the targets set at the beginning of the project, including the improvement in commercialization readiness, IP filings, licensing, market validation, technology transfer, and adoption of good innovation and commercialization policies and incentives; development of research innovation and commercialization strategies for each demonstration organization; training materials on related topics such as innovation management, IP protection, technology transfer, etc.; commercialization of selected promising technologies; and a publication documenting the productivity improvement journey of the demonstration organizations.
- b. To be submitted or completed by the DAP and DOST-MIMAROPA: A dissemination event to showcase good practices and disseminate the results of the project to relevant stakeholders in the Philippines and other APO members; a final report in consultation with the demonstration organizations and resource person(s); a practical manual for replication (in soft copies and/or printed hard copies); and a multimedia record such as a video/DVD of the experiences of the demonstration organizations.

- c. The final report prepared by the DAP and DOST-MIMAROPA may detail the justification and plan for the implementation of stage 2.

Stage 2 of the project will be completed with submission of the following:

- a. Annual project report prepared by the DAP and DOST-MIMAROPA in consultation with the demonstration organizations and resource person(s).
- b. Any other relevant materials documenting the success stories or dissemination of the stories as well as other follow-up activities.

8. Financial Arrangements

The following financial arrangements are applicable for both virtual and face-to-face modalities.

To be met by the APO

- a. All assignment costs of the overseas resource person(s) including honoraria for the virtual and face-to-face modality as well as airfare and daily subsistence allowance for the face-to-face modality (stages 1 and 2).
- b. Honorarium for one local resource person (stages 1 and 2).
- c. A lump-sum honorarium of USD2,500 for the development of a publication documenting the productivity journey of the demonstration organizations.
- d. Up to USD10,000.00 for dissemination-related activities (documentation, production of training manuals and dissemination materials, meeting package for dissemination events, interpretation and translation costs, etc.).
- e. If necessary, financial support for acquiring goods/services in implementing the improvement plans agreed upon by the resource person(s), DAP, DOST-MIMAROPA, and APO (stage 1) will be provided at a maximum of 10% of the total cost or USD5,000.00, whichever is lower. The Secretariat will examine the appropriateness of the proposed goods/services for acquisition under the financial support of the APO prior to disbursement.

To be met by the DAP and DOST-MIMAROPA

- a. Expenses for coordinating and implementing projects under the DMP.
- b. Expenses for dissemination-related activities not covered by the APO.

To be met by the demonstration organizations

- a. Expenses for logistic arrangements, including local travel costs of resource persons and expenses for interpretation.
- b. Costs associated with the purchase, installation, operation, and maintenance of equipment, if any.
- c. All other expenses not covered by the APO, DAP, and DOST-MIMAROPA.

Expense reimbursement and project postponement/cancellation

- a. With regard to the local implementation costs for dissemination activities, 50% of the APO share can be advanced after the PIP is issued.

- b. With regard to the financial support for acquiring goods/services, 50% of the APO share can be advanced after agreement among the resource person(s), DAP, DOST-MIMAROPA, and APO Secretariat on the improvement plans.
- c. Prior to the reimbursement of the financial support for acquiring goods/services, the Secretariat will assess the suitability of the proposed goods/services for acquisition specified in the improvement plans. Upon the assessment, the APO Secretariat will prepare the agreement or contract document explaining the terms and conditions of the financial support to be signed by all parties involved. The specific processes and requirements will be outlined in the PIP.
- d. The remainder of both local implementation costs and financial support will be reimbursed after the completion and submission of the final project outputs together with the necessary supporting documents under the applicable APO rules and regulations for the disbursement of project expenses. The final reimbursement for financial support is also based on the assessment of the implementation of the improvement plans.
- e. The DAP and DOST-MIMAROPA are requested to provide all necessary original copies of proof of payment to the APO Secretariat at one time no later than three months after the dissemination event(s) is conducted. The proof of payment, such as bills, payment records, and receipts, should be issued by third parties and written in clear English or with an English translation if not originally in English. An overall list of expenses categorized based on purpose for the production of dissemination materials or holding a dissemination event(s) should be also provided to the APO Secretariat (see Examples of Expense Categorization in the table below).
- f. The final payment will be made based on the actual expenditure after the DAP and DOST-MIMAROPA submit all necessary documents. Internal evidence by the DAP and DOST-MIMAROPA is not accepted as proof of payment and the expenses claimed by it will not be reimbursed.
- g. In case of a cancellation after the issuance of a Letter(s) of Assignment to a resource person(s), all costs associated with the cancellation should be met by the party responsible.

Examples of Expense Categorization*

Activity	Expense Category	Description
a. Manual development	Publication/photos/documentation	Expenses related to development and publication of a manual such as photography, printing services, editing, etc.
	Translation/interpretation	Translation and/or interpretation
b. Multimedia record	Publication/photos/documentation	Expenses related to development of a multimedia record such as videorecording, audio/video editing, etc.
	Translation/interpretation	Editing, subtitling, and translation of videos
c. Meeting and dissemination event	Equipment/conference room	Equipment/conference room rental
		Conference package (e.g., venue rental and basic refreshments such as mineral water, coffee, tea, and snacks, excluding meals and other food). Refreshment expenses should be included in the venue rental cost as part of the "meeting package." If the refreshments are billed as a separate item, it cannot be reimbursed by the APO.
	Publication/photos/documentation	Production of printed material such as brochures, flyers, etc.
	Stationery/supplies	Pens, notepads, etc.

	Translation/interpretation	Translation and/or interpretation
d. Implementation of the improvement plan	Acquiring goods/services and/or funding activities for the implementation of the agreed improvement plans	Expenses related to the implementation of the improvement plans

*Examples are provided for the reference of the DAP and DOST-MIMAROPA. Please consult with the APO Secretariat if any item is unclear or there are other questions about categorization.